

**GENERAL MEETING OF THE BOARD OF DIRECTORS
OF THE
CENTRAL TEXAS REGIONAL MOBILITY AUTHORITY**

RESOLUTION NO. 12-009

**APPROVING AN UPDATE TO THE LIST OF AUTHORIZED INVESTMENT BROKER
DEALERS INCLUDED AS A PART OF THE CTRMA INVESTMENT POLICY.**

WHEREAS, Resolution 11-129, adopted by the Board of Directors on September 28, 2011, is the most recently adopted approval of the CTRMA Investment Policy; and

WHEREAS, a list of Authorized Investment Broker Dealers is included as page 14 of the approved CTRMA Investment Policy; and

WHEREAS, the Executive Director, Chief Financial Officer, and Controller recommend that the Board update the list of Authorized Investment Broker Dealers to include the firms shown on the list of Authorized Investment Broker Dealers attached to the resolution as Attachment A.

NOW THEREFORE, BE IT RESOLVED that the proposed list of Authorized Investment Broker Dealers attached to this resolution as Attachment A is approved; and

BE IT FURTHER RESOLVED that the Central Texas Regional Mobility Authority Investment Policy adopted by Resolution 11-129 is hereby amended to delete the list of Authorized Investment Broker Dealers included as page 14 of the Investment Policy attached to that resolution, and to substitute the list of Authorized Investment Broker Dealers approved by this resolution.

Adopted by the Board of Directors of the Central Texas Regional Mobility Authority on the 25th day of January, 2012.

Submitted and reviewed by:



Andrew Martin
General Counsel for the Central
Texas Regional Mobility Authority

Approved:



Ray A. Wilkerson
Chairman, Board of Directors
Resolution Number: 12-009
Date Passed: 1/25/12

ATTACHMENT "A" TO RESOLUTION 12-009

LIST OF APPROVED INVESTMENT BROKER DEALERS

[on the following 1 page]

Authorized Investment Broker Dealers

Coastal Securities
206 Wild Basin Road
Suite 109
Austin, Texas 78746

JPMorgan Chase Securities, Inc.
1717 Main Street
Lower Level 1
Dallas, TX 75201

Sterne, Agee & Leach
Institutional Group
6408 Bannington Drive
Charlotte, NC 28226

Gilford Securities Incorporated
777 Third Avenue
New York, NY 10017

First Allied Securities, Inc.
Advanced Equities Plaza
655 West Broadway, 12th Floor
San Diego, CA 92101

First Empire Securities
100 Motor Parkway, 2nd Floor
Hauppauge, NY 11788

First Southwest Company
325 North Saint Paul, 8th Floor
Dallas, TX 75201

BB&T Capital Markets
2 South 9th Street
Richmond, VA 23219

Bank of America Securities
One Bryant Park, 4th Floor
New York, NY 10036